

AMAT 583 Lec 14 10/10/19

Today: Open sets and continuity  
Homotopy Equivalence

a definition of topological equivalence weaker than homeomorphism, but still very useful.

Review: The idea of continuity of a function  $f: S \rightarrow T$  makes sense whenever  $S$  and  $T$  are metric spaces.  
( $S$  and  $T$  needn't be assumed to be subsets of  $\mathbb{R}^n$ ).

Hence, basic topological concepts like homeomorphism extend to metric spaces.

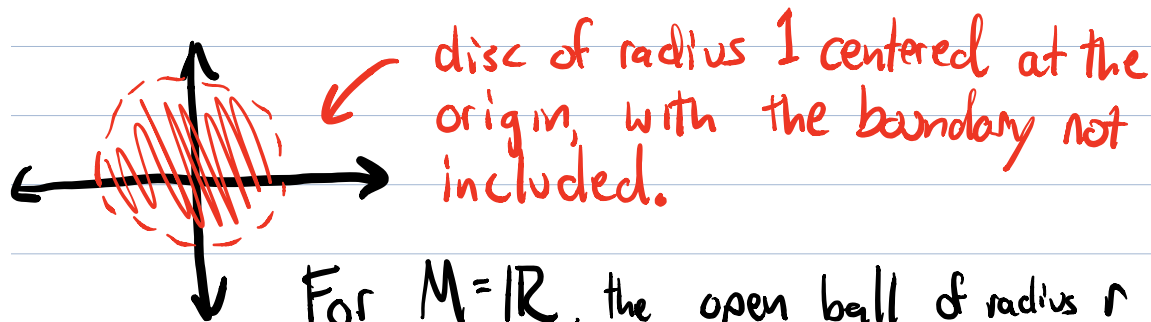
An alternate description of continuity

Open Sets

Let  $M$  be a metric space. For  $x \in M$  and  $r > 0$ , the open ball in  $M$  of radius  $r$ , centered at  $x$ , is the set

$$B(x, r) = \{y \in M \mid d_M(x, y) < r\}.$$

Example: For  $M = \mathbb{R}^2$  with the Euclidean distance.  
 $B(\vec{0}, 1)$  looks like this



disc of radius 1 centered at the origin, with the boundary not included.

For  $M = \mathbb{R}$ , the open ball of radius  $r$  centered at  $x$  is just the interval  $(x-r, x+r)$ .

A subset of  $M$  is called open if it is a union of (possibly infinitely many) open balls.

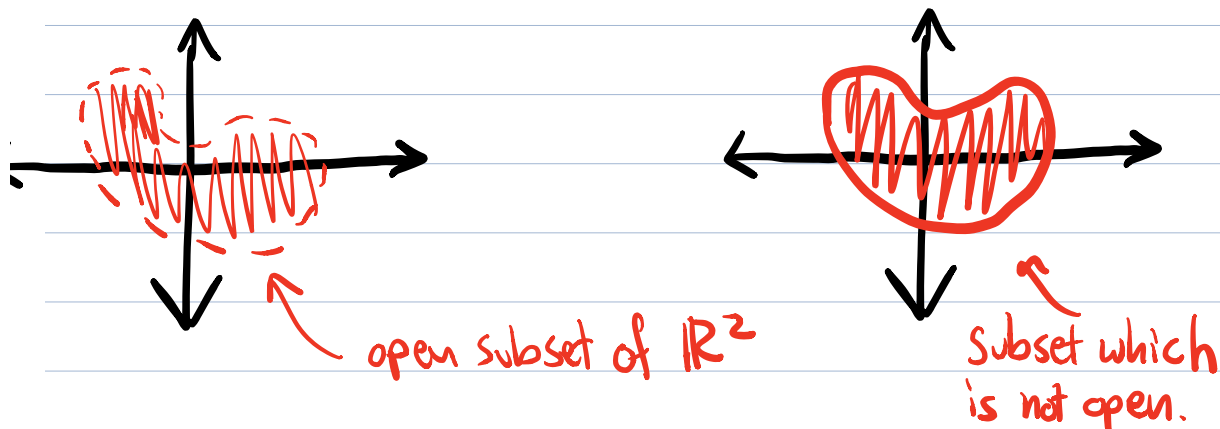
The empty set is always considered open.

$M$  itself is open:  $M = \bigcup_{x \in M} B(x, 1)$

Fact: A region in  $\mathbb{R}^n$  is open if it contains none of its boundary points.

this is an informal statement because I haven't defined "boundary points." It can be made formal, but I will not go into the details.

Illustration: Dashed line = boundary not included  
Solid line = boundary included



Fundamental Fact: Whether a function of metric spaces  $f: M \rightarrow N$  is continuous depends only on the open sets of  $M, N$  and not otherwise on the metric! (this is made precise by the proposition below)

Notation: For  $f: S \rightarrow T$  any function and  $U \subset T$ ,  $f^{-1}(U) = \{x \in S \mid f(x) \in U\}$ .

Example: Let  $f: \mathbb{R}^2 \rightarrow [0, \infty)$  be given by  $f(x) = d_2(x, 0)$ .

$f^{-1}([0, 2)) =$  the open ball of radius 2 centered at  $0$ .

The diagram shows a coordinate system with a shaded circular region centered at the origin. The boundary of the circle is drawn with a dashed red line, indicating it is not included in the set.



Proposition: A function  $f: M \rightarrow N$  of metric spaces is continuous if and only if  $f^{-1}(U)$  is open for every open subset of  $N$ .

Proof: Exercise.

Philosophical implications:

- In topology, we study geometric objects via the continuous functions between them.

(The continuous functions are what matter in topology)

- Thus, in view of the proposition, the specific choice of metric on a metric space matters topologically only insofar as this determines the open subsets of the metric space.

This motivates the following definition:

Def:

Two metrics  $d_1$  and  $d_2$  on a set  $S$  are called topologically equivalent if

$(S, d_1)$  and  $(S, d_2)$  have the same open sets.

Interpretation: Topologically equivalent metrics look the same through the lens of topology.

Note: Examples of topologically equivalent metrics are common.

Fact: If there are positive constants  $0 < \alpha, \beta$  such that  $\forall x, y \in S$ ,  
 $\alpha d_1(x, y) \leq d_2(x, y) \leq \beta d_1(x, y)$ , then  $d_1$  and  $d_2$  are topologically equivalent.

Example: Recall that for  $p \in [1, \infty)$ , we defined the metric

$$d_p \text{ on } \mathbb{R}^n \text{ by } d_p(x, y) = \sqrt[p]{\sum_{i=1}^n |x_i - y_i|^p}$$

Well known fact: For all  $p, q \in [1, \infty)$ ,  $d_p$  and  $d_q$  are topologically equivalent.

Example: The intrinsic and extrinsic metric on  $S^1$  are topologically equivalent.

## Metrics on Cartesian Products

Let  $M$  and  $N$  be metric spaces with metrics  $d_M, d_N$ .

How do I define a metric  $d$  on  $M \times N$ ?

Note: This question is important, because to extend the definition of homotopy to metric spaces, we need to talk about a continuous function  $h: M \times I \rightarrow N$  where  $M, N$  are metric spaces. But then we need a metric structure on  $M \times I$

There are multiple options, e.g.

$$\begin{aligned} - d((m_1, n_1), (m_2, n_2)) &= d_M(m_1, m_2) + d_N(n_1, n_2) \\ d((m_1, n_1), (m_2, n_2)) &= \max(d_M(m_1, m_2), d_N(n_1, n_2)) \end{aligned}$$

But it turns out that these are topologically equivalent!

## Topology without Metrics (Abstract Formulation of Topology)

Idea: If all that matters in topology is the open sets of a metric space, then perhaps it would be simpler to give the basic definitions of topology without mentioning metrics at all.

### Key properties of open subsets of a metric space $M$

- 1) Union of open sets is open
- 2) Intersection of finitely many open sets is open
- 3)  $M$  is open
- 4)  $\emptyset$  is open.

Lets use these facts as inspiration to make a definition

Definition: A topological space is a pair  $(T, \mathcal{O})$ , where:

- $T$  is a set
- $\mathcal{O}$  is a collection of subsets of  $T$ , called the open sets, satisfying properties 1)-4) above

Note that there is no mention of a metric here.

Definition: A function  $f: X \rightarrow Y$  between topological spaces is called continuous if  $f^{-1}(U)$  is open whenever  $U$  is open.

Example: For any metric space  $(S, d)$ , call the collection of open sets  $\mathcal{O}$ . Then  $(S, \mathcal{O})$  is a topological space.

Note: Most examples of topological spaces arise via metrics, as in the above example. But not all do.

From now on, we use the language of topological spaces, but for concreteness, you can think of metric spaces, or subsets of Euclidean spaces.

## Homotopy equivalence

Motivation: Two spaces may not be homeomorphic, but may be topologically similar in a looser sense. We would like to quantify this.