

## AMAT 584 Lecture 14 2/21/20


### Today: Filtrations in TDA Euler Characteristic Curves

In the last 3 lectures, we discussed three constructions of simplicial complexes from data:

- Čech complex
- Rips complex
- Delaunay / Alpha complex.

All depend on a radius parameter  $r$ .

Often choosing a single value of  $r$  is problematic:

- Topology of the simplicial complex may be unstable w.r.t.  $r$ .
- Different values of  $r$  may capture different topological features of the data. 
- Even when there is a good choice of  $r$ , we may not know it a priori.

Prevailing wisdom: As in hierarchical clustering, one should not consider a single value of  $r$ , but look at all values at once.

Recall the following definition from TDA I:

Def: A filtration (indexed by  $[0, \infty)$ ) is a collection of topological spaces

$$F = \{F_r\}_{r \in [0, \infty)} \text{ such that } F_r \subset F_s \text{ whenever } r < s.$$

This definition admits many variants:

- Simplicial complexes instead of topological spaces
- Filtrations indexed by  $\mathbb{N}$ ,  $\mathbb{Z}$ , or  $\mathbb{R}$  instead of  $[0, \infty)$ .

Allowing  $r$  to vary in the various constructions we have seen gives us a filtration:

Let  $X \subset \mathbb{R}^n$  be finite.

Filtrations constructed from  $X$ :

- Union-of-balls filtration  $U(X) = \{U(X, r)\}_{r \in [0, \infty)}$
  - Čech filtration  $\check{C}ech(X) = \{\check{C}ech(X, r)\}_{r \in [0, \infty)}$
  - Delaunay filtration  $Del(X) = \{Del(X, r)\}_{r \in [0, \infty)}$
- we saw this in TDA I

And for  $X$  any finite metric space, we have the

- Vietoris-Rips filtration  $VR(X) = \{VR(X, r)\}_{r \in [0, \infty)}$ .

Persistent homology analyzes data by first constructing a filtration of the data, and then analyzing the topology of the filtration.

In practical computations, the most common choices are the Vietoris-Rips and Delaunay filtrations.

Note that even though  $[0, \infty)$  is infinite, since  $X$  is finite, the above filtrations contain only finitely many different simplicial complexes, so these are computable.

### Euler characteristic curves

- One simple topological signature of a filtration.
- Used in some applications, e.g. recent work on glioblastoma, a brain cancer.

For  $F = \{F_r\}_{r \in [0, \infty)}$  a simplicial filtration, let

$\chi^F: [0, \infty) \rightarrow \mathbb{Z}$  be the function given by

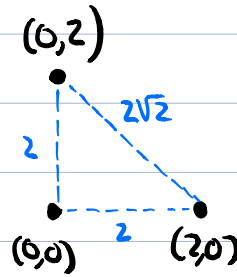
$$\chi^F(r) = \chi(F_r)$$

Euler characteristic of  $F_r$ .

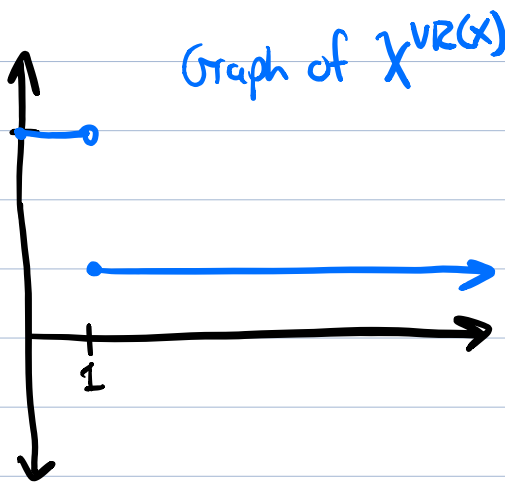
We call this the Euler Characteristic Curve (E.C.C.) of  $F$ .

Example:  $X = \{(0,0), (2,0), (0,2)\}$

$$VR(X) = \begin{cases} \cdot & \text{for } r \in [0,1) \\ \text{L} & \text{for } r \in [1, \sqrt{2}) \\ \triangle & \text{for } r \geq \sqrt{2} \end{cases}$$



$$\text{So } \chi^{VR(X)} = \begin{cases} 3 & \text{for } r \in [0,1) \\ 1 & \text{for } r \in [1, \sqrt{2}) \\ 1 & \text{for } r \in [\sqrt{2}, \infty) \end{cases} = \begin{cases} 3 & \text{for } r \in [0,1) \\ 1 & \text{for } r \geq 1. \end{cases}$$



Can use standard tools/ideas in stats for analyzing E.E.C.'s.

## Disadvantages of the E.C.C. of data:

- Not stable (at least theoretically)
- Not readily interpreted
- Rather coarse invariant.

Using homology, we can get invariants which are

- Stable (in a sense)
- More easily interpreted
- Capture more information about the topology of the data.